

Anna Pinedo Publications

- *Banking Perspectives* – **March 2018**
“*The Resurgence of Debt as Capital*” – by Oliver Ireland, Anna Pinedo et al.
- *International Financial Law Review* – **March 2018**
“Tinkering, Tailoring and Drama” – by Oliver Ireland and Anna Pinedo
- *CFO* – **February 7, 2018**
“Direct Listings: an Alternative to IPOs?” – by Anna Pinedo
- *Book* – **2018**
“Quick Guide to REIT IPOs” – by Anna Pinedo et al.
- *Client Alert* – **January 21, 2018**
“Potential SEC Shutdown Impact on Capital Markets Transactions and Public Companies” – by Anna Pinedo, et. al
- *Client Alert* – **December 26, 2017**
“SEC Guidance Related to the Tax Cuts and Jobs Act” – by Anna Pinedo
- *Marsh Insights* – **December 2017**
“Business Development Companies Face Increasing Regulatory Risks” – by Anna Pinedo et al.
- *Business Law Today/ABA Business Law Section* – **November 2017**
“Month-In-Brief: Capital Formation Bills Pass House” by Anna Pinedo
- *Book* – **November 2017**
“The Short Field Guide to IPOs” – by Anna Pinedo et al.
- *Client Alert* – **October 10, 2017**
“Treasury Report, Part II: Regulation of the Capital Markets” – by Oliver Ireland, Anna Pinedo et al.
- *International Financial Law Review* – **September 2017**
“It’s Not Too Late: The Fiduciary Rule Poll” – by Anna Pinedo et al.
- *Practising Law Institute* – **2017**
“Exempt and Hybrid Securities Offerings (3rd Edition)” – by Anna Pinedo and James Tanenbaum
- *The Banking Law Journal* – **September 2017**
“U.S. Treasury Department Report on Core Principles for Regulating the United States Financial System” – by Anna Pinedo and James Tanenbaum
- *User Guide* – **August 2017**
“The Guide to Social Media and the Securities Laws” – by Anna Pinedo et al.
- *Bloomberg BNA: Securities Regulation & Law Report* – **August 14, 2017**
“Confidentially Speaking, This Could be a Big Deal – *The SEC’s Approach to Confidential Submissions*” – by Anna Pinedo and James Tanenbaum

- *The Current: The Journal of PLI Press* – **Summer 2017**
“Securities Offerings and Communications: Is the Integration Bogeyman Dead?” – by Anna Pinedo and James Tanenbaum
- *Client Alert* – **June 13, 2017**
“U.S. Treasury Department Report on Core Principles for Regulating the United States Financial System” – by Oliver Ireland and Anna Pinedo
- *Federal Reserve Bank of San Francisco and Nonprofit Finance Fund* – **June 2017**
“The Impact Security: Reimagining the Nonprofit Capital Market,” a chapter in *What Matters: Investing in Results to Build Strong, Vibrant Communities* – by Anna Pinedo
- *International Financial Law Review* – **June 2017**
“CHOICE Act: Reform or Recalibration?” – by Oliver Ireland and Anna Pinedo
- *Practical Law Company* – **2017**
“Capital Markets Global Guide 2017: Equity Capital Markets in the United States: Regulatory Overview” – by Anna Pinedo
- *Practical Law Company* – **2017**
“Capital Markets Global Guide 2017: Debt Capital Markets in the United States: Regulatory Overview” – by Anna Pinedo et al.
- *International Financial Law Review* – **May 26, 2017**
“CHOICE Act Provisions Unpicked” – by Oliver Ireland and Anna Pinedo
- *Client Alert* – **April 17, 2017**
“A Trio of FINRA Notices Focused on Capital Formation Issues” – by Anna Pinedo et al.
- *Client Alert* – **March 7, 2017**
“The SEC Issues a Request for Comment on Possible Changes to Industry Guide 3” – by Oliver Ireland, Anna Pinedo et al.
- *Client Alert* – **March 6, 2017**
“Inline XBRL Proposed Rule and IFRS Taxonomy” – by Anna Pinedo et al.
- *Book* – **February 2017**
“Getting the Measure of EGC Corporate Governance Practices: A Survey and Related Resources” – by Anna Pinedo, James Tanenbaum et al.
- *NSCP Currents* – **February 2017**
“Examination Priorities for 2017 from FINRA and OCIE” – by Anna Pinedo et al.
- *International Financial Law Review* – **February 2017**
“Understanding TLAC” – by Oliver Ireland and Anna Pinedo
- *International Financial Law Review* – **January 26, 2017**
“Fed’s Final TLAC Rules Cut Off Bank Bailouts” – by Oliver Ireland and Anna Pinedo
- *Practicing Law Institute* – **January 2017**
“The Securities Law Crystal Ball” – by Anna Pinedo and James Tanenbaum
- *MergerMarket* – **December 21, 2016**
“A Question of Quality: How to Improve SEC Disclosure” – by Anna Pinedo

- *Client Alert* – **December 15, 2016**
“TLAC’s Back! Sifting Through the Federal Reserve’s Final TLAC Rule” – by Oliver Ireland, Anna Pinedo et al.
- *Book* – **November 2016**
“The Short Field Guide to IPOs” – by David Lynn, Anna Pinedo et al.
- *Book* – **2016**
“Quick Guide to REIT IPOs” – by David Lynn, Anna Pinedo et al.
- *Client Alert* – **October 31, 2016**
“DOL Issues First Guidance on Fiduciary Rule” – by Anna Pinedo et al.
- *Book* – **October 2016**
“A Financial Services Glossary: Making Sense of the Alphabet Soup” – by Oliver Ireland, Anna Pinedo et al. *Newsletter* – **October 12, 2016**
- *Stanford Social Innovation Review* – **October 12, 2016**
“Social Impact Bonds: What’s in a Name” – by Anna Pinedo
- *International Financial Law Review* – **October 2016**
“The Next Chapter” – by Anna Pinedo
- *Book* – **2016**
“*Bank Resolution: The European Regime*” “Chapter 14: The Approaches to Bank Resolution: A Study of the UK and the U.S.” – by Oliver Ireland, Anna Pinedo et al.
- *International Financial Law Review* – **September 2016**
“Considerations for Foreign Banks Financing in the United States: 2016 Update” – Anna Pinedo et al.
- *The Investment Lawyer Vol. 23, No. 9* – **September 2016**
“Practice Pointers on Navigating the Securities Act’s Prohibition on General Solicitation and General Advertising” – by David Lynn, Anna Pinedo et al.
- *MoFo Report* – **July 25, 2016**
“Late-Stage Private Placements: A Life Sciences Sector Survey” – by Anna Pinedo et al.
- *The Business Lawyer, Vol. 71* – **Summer 2016**
“Annual Review of Federal Securities Regulation” – by Anna Pinedo et al.
- *Client Alert* – **June 28, 2016**
“SEC Proposes to Expand Smaller Reporting Company Eligibility” – by David Lynn, Anna Pinedo et al.
- *Article* – **June 2016**
“Practice Pointers on Navigating the Securities Act’s Prohibition on General Solicitation and General Advertising” – by David Lynn, Anna Pinedo et al.
- *Practical Law Company* – **2016**
“Capital Markets Global Guide 2016/17: Debt Capital Markets in the United States: Regulatory Overview” – by Anna Pinedo et al.

- *Practical Law Company* – **2016**
“Capital Markets Global Guide 2016/17: Equity Capital Markets in the United States: Regulatory Overview” – by Anna Pinedo
- *Book* – **2016**
“*OTC Derivatives Regulation Under Dodd-Frank: A Guide to Registration, Reporting, Business Conduct, and Clearing*, 2016 ed., Chapter 8: Recordkeeping” – by Anna Pinedo
- *Directors & Boards* – **Second Quarter 2016**
“Bill H.R. 4718: Momentum forward?” – by Anna Pinedo
- *Insights* – **May 2016**
“Disclosure Effectiveness” – by David Lynn and Anna Pinedo et al.
- *International Financial Law Review* – **May 2016**
“Bankers' poll: TLAC and the future of bank debt” – by Anna Pinedo and Oliver Ireland
- *Client Alert* – **April 25, 2016**
“New Executive Compensation Rule At-A-Glance” – by Oliver Ireland, Anna Pinedo et al.
- *CFO* – **April 21, 2016**
“Will Reg A Offerings Flourish in the IPO Downturn?” – by Anna Pinedo
- *Client Alert* – **April 18, 2016**
“A Lofty Concept: Disclosure Effectiveness” – by David Lynn and Anna Pinedo et al.
- *Harvard Law School Forum on Corporate Governance and Financial Regulation* – **February 29, 2016**
“EGC Corporate Governance Practices Survey” – by David Lynn, Anna Pinedo et al.
- *Book* – **2016**
“BNA Tax and Accounting Portfolio, SEC Reporting Issues for Foreign Private Issuers” – by Anna Pinedo and James Tanenbaum
- *Handbook* – **February 2016**
“Getting the Measure of EGC Corporate Governance Practices: A Survey and Related Resources” – by David Lynn, Anna Pinedo et al.
- *Book* – **January 29, 2016**
“JOBS Act Quick Start” –David Lynn, Anna Pinedo et al.
- *Butterworths Journal of International Banking and Financial Law* – **December 2015**
“Fewer Options for Capital-Raising by Banks: More Stability?” – by Anna Pinedo
- *Client Alert* – **December 7, 2015**
“FAST Forward: A Summary of the Securities Law Provisions of the FAST Act” – by Anna Pinedo and David Lynn
- *Book* – **November 16, 2015**
“MoFo’s Quick Guide to: REIT IPOs - 2015” – by Anna Pinedo et al.

- *Client Alert* – **November 2, 2015**
“Following the Wisdom of the Crowd? A Look at the SEC’s Final Crowdfunding Rules”
– by David Lynn and Anna Pinedo
- *Client Alert* – **November 1, 2015**
“TLAC, and Then Some... A Preliminary Assessment of the Federal Reserve Board’s NPR” – by Oliver Ireland and Anna Pinedo
- *Book* – **October 2015**
“The Short Field Guide to IPOS” – by David Lynn, Anna Pinedo et al.
- *NSCP Currents* – **June 2015**
“The Complexities of Distributing Complex Products” – by Anna Pinedo
- *The Business Lawyer, Vol. 70, No. 3* – **Summer 2015**
“Annual Review of Federal Securities Regulation” – by Anna Pinedo et al.
- *User Guide* – **May 7, 2015**
“Getting the Measure of EGC Corporate Governance Practices: A survey and related resources” – by David Lynn, Anna Pinedo et al.
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- *International Financial Law Review* – **April 2015**
“Promoting Capital Formation” – by Anna Pinedo
- *Client Alert* – **March 26, 2015**
“Regulation A+: Final Rules Offer Important Capital Raising Alternatives” – by David Lynn, Anna Pinedo, James Tanenbaum et al.
- *Practical Law Company* – **March 2015**
“Capital Markets Multi-Jurisdictional Guide: Debt Capital Markets in the United States: Regulatory Overview” – by Anna Pinedo et al.
- *Practical Law Company* – **March 2015**
“Capital Markets Multi-Jurisdictional Guide: Equity Capital Markets in the United States: Regulatory Overview” – by Anna Pinedo
- *Financier Worldwide* – **March 2015**
“Is Liquidity the New Capital?” – by Oliver Ireland and Anna Pinedo
- *Client Alert* – **February 20, 2015**
“FHFA Announces Minimum Capital and Liquidity Requirements for Non-Bank Servicers” –by Anna Pinedo et al.
- *Client Alert* – **February 13, 2015**
“SEC Proposes Rule Requiring Hedging Disclosure” – by David Lynn, Anna Pinedo et al.
- *Eurekahedge* – **January 2015**
“What to expect in 2015?” – By Anna Pinedo et al.

- *CrowdFundBeat* – **November 19, 2014**
“SEC Commissioners on Capital Formation” – Anna Pinedo
- *International Financial Law Review* – **July/August 2014**
“Seizing the opportunity” – Anna Pinedo et al.
- *User Guide* – **July 18, 2014**
“Dodd-Frank at 4: Where do we go from here?” –Oliver Ireland, Anna Pinedo et al.
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“The introduction and advent of structured products in the US” – Anna Pinedo
- *International Financial Law Review* – **July 9, 2014**
“Seizing the opportunity” – Anna Pinedo and Jerry Marlatt
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“JOBS Act Quick Start” – David Lynn, Anna Pinedo et al.
- *Client Alert* – **June 17, 2014**
“OCC's Interim Volcker Rule Examination Procedures Provide Much-Needed Guidance to Banks Seeking to Comply” –Oliver Ireland, Anna Pinedo et al.
- *International Financial Law Review* – **May 2014**
“A many-headed hydra” – Anna Pinedo et al.
- *International Financial Law Review* – **April 2014**
“Banking balkanisation?” – Anna Pinedo
- *New York Law Journal* – **March 24, 2014**
“Banking in the 21st Century: Navigating Uncharted Waters” – Anna Pinedo and Oliver Ireland
- *Capital Markets Multi-Jurisdictional Guide 2014* – **March 2014**
“A tipping point in US capital markets: the impact of the JOBS Act on foreign issuers” – Anna Pinedo and James Tanenbaum
- *International Financial Law Review* – **February 5, 2014**
“Considerations for Foreign Banks Financing in the United States: 2014 Update.” – David Lynn, Anna Pinedo et al.
- *The Review of Banking & Financial Services* – **February 2014**
“Exempt Structured Products Programs in the U.S.: Issues For Non-U.S. Banks” – Anna Pinedo et al.
- *International Financial Law Review* – **January 27, 2014**
“The Volcker rule” – Anna Pinedo
- *Client Alert* – **December 27, 2013**
“The Volcker Rule and Capital Markets Offerings” – Anna Pinedo, James Tanenbaum et al.
- *Client Alert* – **December 26, 2013**
“The Volcker Rule: Impact of the Final Rule on Securitization Investors and Sponsors” – Anna Pinedo et al.

- *Client Alert* – **December 19, 2013**
“A+ Indeed: The SEC’s Proposed Rules Amending Reg A” –David Lynn, Anna Pinedo, James Tanenbaum et al.
- *User Guide* – **December 16, 2013**
“A Regulatory Reform Glossary” –Oliver Ireland, Anna Pinedo et al.
- *International Financial Law Review* – **December 2, 2013**
“Tougher than Basel” – Anna Pinedo
- *Wall Street Lawyer* – **December 2013**
“Crowd Control: The SEC’s Crowdfunding Proposal” – David Lynn and Anna Pinedo
- *User Guide* – **December 2013**
“A Regulatory Reform Glossary” – Oliver Ireland, Anna Pinedo et al.
- *Client Alert* – **November 15, 2013**
“Standing out from the Crowd: A Closer Look at the SEC’s and FINRA’s Proposed Crowdfunding Rules” – David Lynn and Anna Pinedo
- *Client Alert* – **October 24, 2013**
“High Quality Liquid Assets” – Anna Pinedo et al.
- *Client Alert* – **October 23, 2013**
“Crowdfunding Proposal: Quick First Look” – David Lynn and Anna Pinedo
- *Client Alert* – **October 2, 2013**
“Private Offerings: Questions that Might Frequently be Asked Sometime Soon (Part II)” – David Lynn and Anna Pinedo
- *Corporate Counsel Advisory Newsletter* – **September 16, 2013**
“The JOBS Act—Goldilocks, Porridge and General Solicitation” –David Lynn, Anna Pinedo et al.
- *Securities Law360* – **August 29, 2013**
“Understanding Convertible Debt Securities” – Anna Pinedo et al.
- *NVCA Today* – **August 26, 2013**
“Private Offerings: Questions that Might Frequently be Asked Sometime Soon” – David Lynn, Anna Pinedo and James Tanenbaum
- *Securities Law360* – **August 22, 2013**
“Tips For Drafting A Rule 144A or Reg. S Indenture” – Anna Pinedo et al.
- *Securities Law360* – **August 15, 2013**
“Covenants: High Yield Vs. Investment Grade” – Anna Pinedo et al.
- *Thomson Reuters: Business Currents* – **August 14, 2013**
“Contingent Capital Securities: Evolution Or Disruption?” – Anna Pinedo
- *Securities Law360* – **August 8, 2013**
“Knowing Who's Involved In Rule 144A, Reg. S Offerings” – Anna Pinedo et al.

- *Securities Law360* – **August 1, 2013**
“Conducting Due Diligence In Rule 144A, Reg. S Offerings” – Anna Pinedo et al.
- *Securities Law360* – **July 26, 2013**
“How To Conduct Rule 144A And Regulation S Offerings” – Anna Pinedo et al.
- *Client Alert* – **July 23, 2013**
“Private Offerings: Questions that Might Frequently be Asked Sometime Soon” – David Lynn, Anna Pinedo, James Tanenbaum et al.
- *The Harvard Law School Forum on Corporate Governance and Financial Regulation* – **July 19, 2013**
“Goldilocks, Porridge and General Solicitation” – David Lynn, Anna Pinedo et al.
- *Client Alert* – **July 10, 2013**
“Goldilocks, Porridge and General Solicitation” – David Lynn, Anna Pinedo et al.
- *Client Alert* – **July 1, 2013**
“More Leverage for the Unleveraged - Basel Committee Proposes Specific Leverage Capital Framework for Banking Organizations” – Oliver Ireland, Anna Pinedo et al.
- *Derivatives Week* – **July 1, 2013**
“Electronic Structured Note Systems and U.S. Securities Regulation” – Anna Pinedo et al.
- *International Financial Law Review* – **July/August 2013**
“Time for a U.S. Alternative” – Anna Pinedo et al.
- *Client Alert* – **June 19, 2013**
“FINRA Issues Sweep Letter Regarding Use of Social Media” – David Lynn, Anna Pinedo et al.
- *The CLS Blue Sky Blog* – **June 10, 2013**
“Morrison and Foerster Summarizes the Status of Dodd-Frank as it approaches three years” – Oliver Ireland and Anna Pinedo
- *User Guide* – **June 7, 2013**
“Dodd-Frank at 3: The Snail and the Tortoise” – Oliver Ireland, David Lynn, Anna Pinedo et al.
- *Client Alert* – **June 4, 2013**
“Now the Fun Begins: FSOC Proposes the First Three Nonbank SIFIs” – Oliver Ireland, Anna Pinedo et al.
- *Financier Worldwide* – **June 2013**
“JOBS Act first anniversary” – Anna Pinedo and James Tanenbaum
- *International Financial Law Review* – **June 2013**
“Holistic medicine needed to revive securitisation” – Anna Pinedo et al.
- *International Financial Law Review* – **May 24, 2013**
“The US capital question, still” – Anna Pinedo

- *International Financial Law Review* – **May 16, 2013**
“US Banks’ regulatory capital question continues” – Anna Pinedo
- *Client Alert* – **May 6, 2013**
“IOSCO Consultation Report on Financial Benchmarks” – Anna Pinedo et al.
- *Financier Worldwide* – **May 2013**
“Compliance by tweet or post” – David Lynn and Anna Pinedo
- *The Business Lawyer, Vol. 68, No. 3* – **May 2013**
“Annual Review of Federal Securities Regulation” – David Lynn, Anna Pinedo et al.
- *Client Alert* – **April 10, 2013**
“The CFTC’s Further No-Action Relief for Operators of Securitization Vehicles that May Constitute Commodity Pools” – Anna Pinedo et al.
- *Client Alert* – **April 4, 2013**
“Federal Reserve Board Approves Final Rules Defining When Significant Nonbank Firms are ‘Predominantly Engaged in Financial Activities’” – Oliver Ireland, Anna Pinedo et al.
- *The Review of Securities & Commodities Regulation* – **April 3, 2013**
“Structured Products: Investment Company Act and Investment Advisers Act Considerations” – Anna Pinedo et al.
- *Client Alert* – **April 3, 2013**
“The Basel Committee Proposed to Double Down on Counterparty Exposure Limits” – Oliver Ireland, Anna Pinedo et al.
- *Harvard Law School Forum on Corporate Governance and Financial Regulation* – **April 2, 2013**
“JOBS Act Quick Start” – Anna Pinedo and David Lynn
- *Client Alert* – **March 15, 2013**
“A European Financial Transaction Tax” – Anna Pinedo et al.
- *The CLS Blue Sky Blog* – **March 8, 2013**
“Public Deals Become More Private” – Anna Pinedo
- *Client Alert* – **February 21, 2013**
“FDIC Proposes to Nix Insurance for Dually Payable Deposits—With an Upside and a Nod to International Cooperation” – Oliver Ireland, Anna Pinedo et al.
- *User Guide* – **February 19, 2013**
“Favorable Treatment of Mortgage Loans Under Dodd-Frank: A Comparison” – Anna Pinedo et al.
- *International Financial Law Review* – **February 13, 2013**
“Offering distinctions without a difference?” – Anna Pinedo and James Tanenbaum
- *Client Alert* – **February 5, 2013**
“Avoiding Armageddon: Resolution Regimes for Central Clearing Counterparties” – Oliver Ireland, Anna Pinedo et al.

- *International Financial Law Review* – **February 2013**
“JOBS Act Quick Start: A brief overview of the JOBS Act” –David Lynn, Anna Pinedo et al.
- *International Financial Law Review* – **January 24, 2013**
“Structured products” – Anna Pinedo et al.
- *The Harvard Law School Forum on Corporate Governance & Financial Regulation* – **January 18, 2013**
“Dodd-Frank Implementation: Navigating the Road Ahead” – Anna Pinedo
- *Client Alert* – **January 10, 2013**
“Liquidity Coverage Ratio: New Basel Measurement Published” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *The Harvard Law School Forum on Corporate Governance & Financial Regulation* – **January 11, 2013**
“FINRA Issues Guidance for Private Placement Filings” – Anna Pinedo et al.
- *Practical Law Company: Capital Markets Multi-Jurisdictional Guide* – **2013**
“Debt capital markets in the United States: regulatory overview” – Anna Pinedo et al.
- *Practical Law Company: Capital Markets Multi-Jurisdictional Guide* – **2013**
“Equity capital markets in the United States: regulatory overview” – Anna Pinedo et al.
- *User Guide* – **December 20, 2012**
“Dodd-Frank Implementation: Navigating the Road Ahead” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *Client Alert* – **December 17, 2012**
“Cross-Border Resolutions: FDIC and Bank of England Release White Paper” – Oliver Ireland, Anna Pinedo et al.
- *Client Alert* – **December 16, 2012**
“Another Shoe Drops: The Federal Reserve Board Proposes Dodd-Frank Systemic Prudential Regulation for Foreign Banks – Oliver Ireland, Anna Pinedo et al.
- *International Financial Law Review* – **December 14, 2012**
“Worry caused by lack of guidance on US commodity pool operators” – Anna Pinedo
- *Client Alert* – **December 10, 2012**
“FINRA Issues Guidance for Private Placement Filings” – Anna Pinedo et al.
- *Newsletter* – **December 5, 2012**
“The Proposed Capital Rules: Application to Bank Assets” –Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *International Financial Law Review* – **November 30, 2012**
“The Year That Changed Research Analyst Coverage” – Anna Pinedo and James Tanenbaum
- *International Financial Law Review* – **November 21, 2012**
“Worry caused by lack of guidance on US commodity pool operators” – Anna Pinedo

- *Client Alert* – **November 19, 2012**
 “If It Ain’t Broke, Don’t Fix It’: Treasury Exempts Foreign Exchange Swaps and Forwards from Dodd-Frank Swaps Regulation Requirements” – Oliver Ireland, Anna Pinedo et al.
- *Client Alert* – **November 9, 2012**
 “Stress Testing and Capital Planning: Federal Reserve Issues Guidance for 2013 Cycle” – Oliver Ireland, Anna Pinedo et al.
- *Client Alert* – **November 9, 2012**
 “Regulatory Capital: January 1, 2013 Deadline Eased” – Oliver Ireland, Anna Pinedo et al.
- *Client Alert* – **October 22, 2012**
 “Stress Tests: Guidance for Community Banks” – Oliver Ireland, Anna Pinedo et al.
- *The Harvard Law School Forum on Corporate Governance and Financial Regulation* – **October 17, 2012**
 “Good Walls, Better Compliance: OCIE’s Report” – Anna Pinedo
- *Client Alert* – **October 12, 2012**
 “FINRA Proposes Revised Rule Involving the Preparation and Distribution of Debt Research Reports” – Anna Pinedo et al.
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 “Stress Testing: Final Rules for Banking Organizations from the Federal Banking Agencies” – Oliver Ireland, Anna Pinedo et al.
- *Client Alert* – **October 8, 2012**
 “SEC Registration of Covered Bonds” – David Lynn, Anna Pinedo et al.
- *Client Alert* – **October 5, 2012**
 “Dodd-Frank Considerations for End-Users of Derivatives” – Anna Pinedo et al.
- *Client Alert* – **October 1, 2012**
 “Good Walls, Better Compliance: OCIE’s Report” – David Lynn, Anna Pinedo et al.
- *Client Alert* – **August 29, 2012**
 “Solicitation Emancipation: Proposed Rules Relating to the Relaxation of the Prohibition on General Solicitation” – David Lynn, Anna Pinedo, James Tanenbaum et al.
- *Client Alert* – **August 14, 2012**
 “FINRA Commences Sweep Request Relating to Conflicts of Interest” – Anna Pinedo et al.
- *International Financial Law Review* – **July/August 2012**
 “Coming to America” – Anna Pinedo et al.

- *User Guide* – **July 30, 2012**
“Dodd-Frank at 2” – Oliver Ireland, David Lynn, Anna Pinedo, James Tanenbaum et al.
- *International Financial Law Review* – **July 18, 2012**
“The Dodd-Frank Act at Two Years Old” – Anna Pinedo
- *Client Alert* – **July 3, 2012**
“Proposed Legislation Would Increase Investment Opportunities for Business Development Companies” – Anna Pinedo et al.
- *Client Alert* – **July 2, 2012**
“The Volcker Rule’s Impact on Foreign Banking Organizations” – Anna Pinedo, James Tanenbaum et al.
- *International Financial Law Review: Covered Bonds 2012* – **July 1, 2012**
“How to Issue Covered Bonds into the US” – Anna Pinedo et al.
- *Client Alert* – **June 27, 2012**
“New Basel Disclosure Rules – Is It Time to Bookmark www.bis.org?” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *Client Alert* – **June 13, 2012**
“The Banking Agencies’ New Regulatory Capital Proposals – a More In-Depth Look” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *Client Alert* – **June 13, 2012**
“The Federal Banking Agencies’ Regulatory Capital Proposals – A Summary” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
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“Extraterritoriality: The Volcker Rule” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *Client Alert* – **June 4, 2012**
“Supervised Securities Holding Companies: Federal Reserve Finalizes Regulation OO” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *Market Solutions* – **June 2012**
“Financial Markets Association Vol. 21, No. 2” – Anna Pinedo et al.
- *Practical Law Company* – **June 2012**
“Accessing the US Markets: A Warmer Climate for Foreign Issuers” – Anna Pinedo and James Tanenbaum
- *Client Alert* – **May 21, 2012**
“SEC Registered Covered Bonds” – David Lynn, Anna Pinedo et al.
- *Bloomberg BNA: Daily Report for Executives* – **May 18, 2012**
“Is Nothing Private Anymore?” – Anna Pinedo and James Tanenbaum
- *Client Alert* – **May 16, 2012**
“Orderly Liquidation Authority: FDIC Announces Its Strategy” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.

- *International Financial Law Review* – **May 2, 2012**
“How the JOBS Act Attracts Foreign Issuers” – Anna Pinedo and James Tanenbaum
- *Practical Law Company* – **May 2, 2012**
“JOBS Act: Benefits for Foreign Private Issuers” – Anna Pinedo and James Tanenbaum
- *Client Alert* – **May 2012**
“Systemically Important Nonbank Financial Institutions: FSOC Approves Final Rule” – Oliver Ireland, Anna Pinedo, James Tanenbaum et al.
- *Practical Law Company* – **May 2012**
“Capital Markets Multi-Jurisdictional Guide 2012/13: Hybrid Securities Chapter” – Anna Pinedo et al.
- *Practical Law Company* – **May 2012**
“Capital Markets Multi-Jurisdictional Guide 2012/13: United States Chapter” – Anna Pinedo et al.
- *Practical Law Company* – **May 2012**
“The Other Global Warming: The Impact of the JOBS Act on Foreign Issuers” – Anna Pinedo and James Tanenbaum
- *The Business Lawyer, Vol. 67* – **May 2012**
“Annual Review of Federal Regulation of Securities: Significant 2011 Regulatory Developments” – David Lynn, Anna Pinedo et al.
- *Practical Law Company* – **April 16, 2012**
“A Quick Guide to the JOBS Act” – David Lynn and Anna Pinedo
- *Client Alert* – **April 12, 2012**
“Additional JOBS Act Guidance” – David Lynn, Anna Pinedo et al.
- *Client Alert* – **April 6, 2012**
“Guidance on General Solicitation and General Advertising in Rule 506 Offerings Following Enactment of the JOBS Act” – David Lynn and Anna Pinedo
- *Client Alert* – **April 6, 2012**
“SEC Staff Guidance on Confidential Registration Statement Submissions under the JOBS Act” – David Lynn and Anna Pinedo
- *International Financial Law Review* – **April 2012**
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Other publications:

Anna T. Pinedo launched a newsletter titled Structured Thoughts, regarding developments in the structured and market linked products market. Past issues of the newsletter are available on the Structured Products Association trade group’s page at <https://www.structuredproducts.org/>.